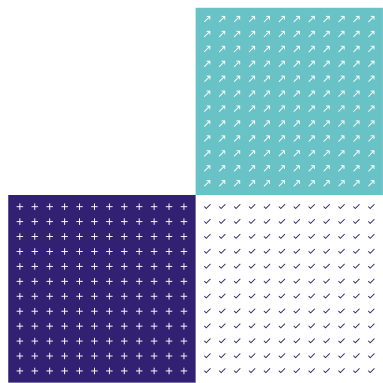


# GEN 5

Edition 5, August 2024

## Accreditation for the purposes of appointment as an Approved Body under the UKCA system



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## Changes since last edition

- Replacement of Northern Ireland Protocol with Windsor Framework
- General update to Government contact details in Appendix 1
- Confirmation of the designated standard for accreditation of In-house / User Inspectorate (as agreed with DBT) in Table 2 of Appendix 2

## 1. Definitions

- 1.1 Approved Body: A conformity assessment body appointed by a UK Competent Authority to undertake conformity assessment activities for the purposes of UKCA marking of products to be placed on the GB market.

Note: The term Approved Body is used generically in this document and should be read to include other categories of appointment such as Designated Body, Technical Assessment Body (TAB) and Recognised Third-Party Organisation (RTPO).

- 1.2 UK Notified Body: A conformity assessment body appointed by the UK Government to undertake conformity assessment activities for the purpose of Notified Body activity in Northern Ireland.

Note: The term UK Notified Body is used generically in this document and should be read to include other categories of appointment such as Designated Body, Technical Assessment Body (TAB) and Recognised Third-Party Organisation (RTPO).

- 1.3 Designated standards: Standards designated by the UK government that can confer a presumption of conformity with relevant UK regulations, including the ISO/IEC 17000 series of standards used for the purposes of accreditation.

Note: These replace the harmonised standards published by the European Commission in the Official Journal of the EU.

## 2. Introduction

The withdrawal of the UK from the European Union, completed on 31 December 2020, meant that UK bodies could no longer take part in activities for the purposes of CE Marking (with the exception of goods destined for the Northern Ireland market – see Section 4), as Notified Bodies have to be established in an EU member state. Therefore, to address the needs of the GB market, the UK Government introduced the UK Conformity Assessed (UKCA) system as part of the domestic legal framework introduced for mandatory certification of products being placed on the GB market.

To facilitate the above, UKAS accredits conformity assessment bodies (CABs) to enable them to be appointed as an Approved Body in accordance with the relevant UK legislation.

The Regulations and associated Competent Authorities covered by these arrangements are listed in Appendix 1 to this document.

## 3. Criteria

The criteria to be met by CABs seeking appointment as an Approved Body are set out in the relevant UK regulations and in associated documents issued by the Competent Authorities. With one or two noted exceptions<sup>(1)</sup>, the UK Government's preference is to use UKAS accreditation as the basis for appointment of Approved Bodies seeking to undertake conformity assessment tasks under the UKCA system, and to regard accreditation to relevant international standards (as outlined in Appendix 2) as supporting evidence that the applicant body satisfies the requirements of the minimum criteria.

With the exception of Pyrotechnic Articles<sup>(2)</sup>, Approved Bodies for UKCA purposes must be established under UK law and have legal personality. Established means that the Approved Body must be a

registered UK legal entity (via Companies House or equivalent) with a registered UK address. It shall be this legal entity that holds UKAS accreditation, and which shall be appointed as an Approved Body. It is recognised that the actual conformity assessment activities can take place outside of the UK, but they shall be under the direct managerial and technical control of the registered UK company.

Being Established in the UK means that Approved Bodies must: -

- possess rights and duties to perform conformity assessment activities, e.g. as specified in the company's articles of association;
- hold adequate public liability and professional indemnity insurance for the scope of UKCA activities they wish to carry out;
- be able to demonstrate that it holds full responsibility for the contracts, activities, and decisions that it undertakes/makes;
- take full responsibility for the performance and outcome of UKCA activities, i.e. have full operational control over these activities. To this end, the registered legal entity shall have appropriate technical competence and the resources to assure control over the full scope of accreditation, and to take responsibility for:
  - the competence and resources used;
  - the rules and procedures applied;
  - the consistency obtained, and quality achieved through the application of these rules and procedures;
  - the impartiality displayed applying these rules and procedures; and,
  - the contents of issued reports and/or certificates.
- own and operate an effective management system that clearly covers the activities of the UK Approved Body, including identified persons responsible for maintenance of the management system;
- define and document the organisational structure for the UK Approved Body, showing all persons involved in relevant activities and their reporting lines;
- ensure all documents, policies and procedures are available in English;
- identify the Technical Management responsible for the UKCA activities; and demonstrate that such persons are contracted by and act as employees of that UK Approved Body;
- hold descriptions of the responsibilities and relevant authorisations of persons:
  - approving policies and instructions for UKCA activities,
  - approving authorities and responsibilities of personnel involved in UKCA activities, and
  - authorising certificates and reports
- where resources are contracted in from other legal entities, contracts shall be in place with those legal entities covering release of that person to work for the UK Approved Body, and also have a contract with the individual person(s) covering matters such as confidentiality and impartiality;
- issue correspondence including contracts, certificates, and reports under the name of the UK Approved Body only;
- hold contracts with the customer which bind the assessment activities to UK Law<sup>(3)</sup>;
- meet all requirements for impartiality and independence, in accordance with the regulations and the requirements for accreditation.

UKCA Approved Bodies are also strongly encouraged to take part in Approved Body coordination activities.

UKCA Approved Bodies shall be listed in the [UK Market Conformity Assessment Bodies \(UKMCAB\) database](#), which is maintained by the Department for Business and Trade (DBT).

- (1) *Not all UK Competent Authorities use UKAS accreditation to determine the technical competence and appropriate compliance of Approved Bodies: Some, such as MHRA for Medical Devices, have adopted other accepted processes.*
- (2) *UK legislation allows Approved Bodies for pyrotechnic articles to be established outside of the UK. However, any non-UK conformity assessment bodies shall still be required to hold UKAS accreditation in order to be appointed as a UK Approved Body.*
- (3) *UK law includes English law, Scottish law, and Northern Ireland law e.g. an Approved Body established in England shall have contracts drawn up subject to English law.*

#### **4. Northern Ireland**

Under the terms of the Windsor Framework, Northern Ireland remains subject to EU legislation and EU regulations continue to apply within the province. This includes special provisions for placing certain goods on the Northern Ireland market which differ to those for the rest of the UK. Whereas the rest of the UK (i.e. Great Britain) shall operate under the UKCA system, Northern Ireland shall continue to operate under the EU CE marking system and shall not recognise goods that only possess the UKCA mark.

Certain goods destined for the Northern Ireland market must possess a CE Mark. This can either be provided by a Notified Body from an EU Member State listed on the EU NANDO database, or by a UK-based Notified Body although that product shall also be marked with the UKNI mark (sometimes referred to as the UK(NI) mark or the UK(NI) indication) in addition to the CE mark: These CE UKNI marked goods shall be recognised within Northern Ireland and the rest of the UK, but will not be recognised within the EU.

UKAS, as the National Accreditation Body for Northern Ireland, as well as the entire UK, shall be able to provide accreditation to UK-based Notified Bodies for the purposes of CE UKNI marking in addition to accreditation as Approved Bodies for the purposes of UKCA marking.

NB: The accreditation of CABs as UKNI Notified Bodies shall continue to take full account of the EA (European cooperation for Accreditation) processes as defined in mandatory publication EA-2/17 *EA Document on Accreditation for Notification Purposes*.

UK Notified Bodies shall be listed in the [UK Market Conformity Assessment Bodies \(UKMCAB\) database](#), which is maintained by the Department for Business and Trade (DBT).

## 5. Relevant standards for accreditation

UKAS has adopted a designated standard approach in order to provide a consistent and comparable implementation of accreditation for UKCA purposes. The tables in [Appendix 2](#) of this document identify the designated standard for each module and legislation, and, in addition, the table in [Appendix 3](#) includes the additional requirements taken from other designated standards which are needed to underpin the standard for an appropriate assessment of the competence and performance under each module.

Any accreditation for the purposes of appointment or notification not using the designated standard (Appendix 2) shall be justified by existence of a published legal requirement, binding to the CAB, not to accept the designated standard but a different one.

To be accredited, Approved Bodies shall be assessed by UKAS using:

- 1) The designated standard(s) for each module, and the additional requirements as described above as applicable to the module or conformity assessment procedure requested; and
- 2) the requirements for Approved Bodies included in the relevant Legislation.

## 6. Application

On receipt of an application for accreditation for the purposes of appointment, UKAS will initiate the assessment and accreditation of the applicant body against the relevant criteria and the requirements of the applicable standard(s) for the specified scope. Upon grant of accreditation the applicant body shall then formally apply to the relevant Competent Authority for appointment as a UKCA Approved Body or UKNI Notified Body.

Applicants for assessment shall apply to UKAS using the Application Form and relevant AC supplement(s) (i.e. AC1, AC2 or AC4) that are available from UKAS at [www.ukas.com](http://www.ukas.com). The application must be accompanied by a signed *Confidentiality Waiver* form, allowing UKAS to share related information with the relevant Competent Authority where necessary. This form can be found on the UKAS website along with the application forms. The AC supplement form should also be used by bodies already accredited by UKAS for the purposes of appointment who are seeking an extension to their scope of existing accreditation or when seeking an appointment under another Regulation.

In parallel, applicants should advise the appropriate UK Competent Authority of their application and satisfy any specific, additional application requirements. In some cases, specific Competent Authorities may require the applicant to apply for Approved Body status at the same time as applying for accreditation and may request further information, for example, with regard to appropriate insurance cover.

## 7. Assessment

UKAS will appoint an Assessment Manager to manage and coordinate the assessment process. UKAS will carry out an assessment of the applicant body in accordance with its normal procedures, using the requirements of the applicable standards in the ISO/IEC 17000 series and any specific requirements within the legislation and any relevant UK Guidelines for Appointment in so far as they reflect the criteria for appointment specified by the competent authority. In carrying out the assessment UKAS will make appropriate allowance for pre-existing accreditations and appointments of relevant scope held by the applicant body. Wherever possible, UKAS will aim to coordinate assessment, surveillance and, reassessment activities relating to regulatory appointments with the applicant's other accredited activities.

Upon confirmation that all criteria have been fully met, and that competence has been demonstrated, UKAS will grant accreditation for the applicant scope. The newly accredited body should then formally apply to the Competent Authority that holds responsibility for appointments to the legislation of concern (*please note: in some cases, specific Competent Authorities may require the application for Approved Body status to be made at the same time as the application for accreditation*). The application for appointment should be supported by the body's certificate of accreditation, associated accreditation schedule and assessment report as evidence of competence, as well as evidence of adequate public liability and professional indemnity insurance for the activities they wish to carry out. The Competent Authority shall consider the available evidence and may seek further information/clarification direct from UKAS before making an appointment to undertake specified conformity assessment duties. The Competent Authority will advise the applicant of its decision on appointment.

Following confirmation of the appointment, the Approved Body will be subject to surveillance and reassessment of its continuing competence and capability to carry out the duties for which it has been appointed. Such surveillance and reassessment will be in accordance with standard UKAS procedures and practice. Where required UKAS will report the results of the surveillance and reassessments of Approved Bodies to the Competent Authority and in particular advise on situations where UKAS determines that the Approved Body no longer meets the requirements for accreditation.

Where the Regulation allows overseas entities to become Approved Bodies (i.e. for Pyrotechnic Articles) then UKAS shall subcontract the assessment to the body's local accreditation body, subject to that accreditation body being a signatory to the EA multilateral agreement (MLA). However, overall responsibility for assessments and for the granting/maintaining/withdrawing of accreditation shall remain solely with UKAS.

Once approved by the relevant Competent Authority, the UK CE (NI) or Approved Body's details will appear on the [UK Market Conformity Assessment Bodies \(UKMCAB\) database](#).

For terms and conditions with respect to the fees charged by UKAS please refer to the UKAS website [www.ukas.com](http://www.ukas.com).

## Appendix 1: Activities/regulations covered by these arrangements

- **For the Department for Business and Trade (DBT) - Office for Product Safety & Standards**

Equipment for use in explosive atmospheres	Equipment and Protective Systems Intended for use in Potentially Explosive Atmospheres Regulations 2016
Civil Explosives	Explosives Regulations 2014 (Amendment) Regulations 2016
Electromagnetic compatibility (EMC)	Electromagnetic Compatibility Regulations 2016
Gas Appliances	Gas Appliances (Enforcement) and Miscellaneous Amendment Regulations 2018
Hot Water Boilers	Boiler (Efficiency) Regulations 1993
Lifts	Lifts Regulations 2016
Machinery	Supply of Machinery (Safety) Regulations 2008
Measuring Instruments	Measuring Instruments Regulations 2016
Noise emission in the environment by equipment for use outdoors	Noise Emission in the Environment by Equipment for use Outdoors Regulations 2001
Non-Automatic Weighing Instruments (NAWI)	Non-automatic Weighing Instruments Regulations 2016
Personal protective equipment (PPE)	Personal Protective Equipment Regulations (Regulation (EU) 2016/425 as brought into UK law and amended) and the Personal Protective Equipment (Enforcement) Regulations 2018
Pressure equipment	Pressure Equipment (Safety) Regulations 2016
Pyrotechnic Articles	Pyrotechnic Articles (Safety) Regulations 2015
Radio equipment (RE)	Radio Equipment Regulations 2017
Recreational craft (RC)	Recreational Craft Regulations 2017
Simple pressure vessels (SPV)	Simple Pressure Vessels (Safety) Regulations 2016
Toys	Toys (Safety) Regulations 2011

**Contact address** Department for Business and Trade  
Old Admiralty Building  
Admiralty Place  
London  
SW1A 2DY

**Contact** [approvedbodies@businessandtrade.gov.uk](mailto:approvedbodies@businessandtrade.gov.uk)

Further information can be found at <https://www.gov.uk/guidance/using-the-ukca-mark-from-1-january-2021>

Further information on NAWI and Measuring Instruments can be found at <https://www.gov.uk/government/collections/weights-and-measures-regulations-guidance>  
<https://www.gov.uk/guidance/apply-to-be-a-uk-cab-for-product-safety-and-metrology>

Further information is available on the DBT website at <https://www.gov.uk/guidance/product-safety-for-businesses-a-to-z-of-industry-guidance>. Guidelines, product standard booklets and other relevant documents are also directly downloadable from the DBT website. Guidelines and booklets also contain references to the relevant UK implementing regulations.



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● **For the Department for Transport (DfT)**

Transportable dangerous goods and pressure receptacles	The Carriage of Dangerous Goods and Use of Transportable Pressure Equipment (Amendment) (EU Exit) Regulations 2020
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**Contact Address**      Vehicle Certification Agency Dangerous Goods Office (VCA DGO)  
Cleeve Road  
Leatherhead KT22 7NF

**Contact**                      Ian Bryer                      Email: [tanks@vca.gov.uk](mailto:tanks@vca.gov.uk)

Further information on the transport of dangerous goods by road and rail can be found at:  
<https://www.gov.uk/government/policies/providing-effective-regulation-of-freight-transport/supporting-pages/safe-carriage-of-dangerous-goods>

Further information on transportable pressure receptacles can be found at  
<http://www.legislation.gov.uk/uksi/2009/1348/contents/made>; and  
<http://www.legislation.gov.uk/uksi/2011/1885/note/made>

Cableway Installations	Cableway Installations Regulations 2018
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**Contact Address**      Department for Transport  
3/19 Great Minster House  
33 Horseferry Road  
London SW1P 4DR

**Contact**                      Gary Wilson                      Email: [Gary.Wilson@dft.gov.uk](mailto:Gary.Wilson@dft.gov.uk)

Rail Interoperability	The Railways (Interoperability) Regulations 2011 as amended by the Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (2019/345)
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**Contact Address**      Rail Industry and Competitiveness  
Department for Transport  
3/19 Great Minster House  
33 Horseferry Road  
London SW1P 4DR

**Contact**                      James Le Grice                      Email: [James.LeGrice@dft.gov.uk](mailto:James.LeGrice@dft.gov.uk)

Further information on the Interoperability Regulations can be found at  
<http://www.legislation.gov.uk/uksi/2011/3066/made>

- **For the Maritime and Coastguard Agency (MCA)**

Marine Equipment	The Merchant Shipping (Marine Equipment) Regulations 2016
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*Contact Address* Marine Technology Unit  
Maritime and Coastguard Agency  
Spring Place  
105 Commercial Road  
Southampton, SO15 1EG

*Contact* Jennifer Clough Email: [meqa@mcga.gov.uk](mailto:meqa@mcga.gov.uk)

Further information regarding the Marine Equipment Regulations can be found at [MGN 680 \(M+F\) marine equipment - procedure for becoming a UK nominated body. - GOV.UK \(www.gov.uk\)](https://www.gov.uk/guidance/mgn-680-m+f-marine-equipment-procedure-for-becoming-a-uk-nominated-body) and <https://www.legislation.gov.uk/uksi/2016/1025/contents>.

- **For the Ministry of Housing, Communities and Local Government (MCLG)**

Construction Products Regulation (CPR)	Construction Products Regulation 2011 (retained EU law EUR 305/2011) as amended by the Construction Products (Amendment etc.) (EU Exit) Regulations 2019 and the Construction Products (Amendment etc.) (EU Exit) Regulations 2020.
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*Contact Address* Ministry of Housing, Communities and Local Government  
Building Safety Regulators Division  
2 Marsham Street  
London SW1P 4DF

*Contact* Construction Products Team [approved.bodies@levellingup.gov.uk](mailto:approved.bodies@levellingup.gov.uk)

Further information on the Construction Products Regulation can be found at <https://www.gov.uk/guidance/construction-products-regulation-from-1-january-2021>

- **UKAS contacts**

General enquiries related to the above Regulations and applications:

Mr Kevin Belson  
Technical Manager - International  
Email: [kevin.belson@ukas.com](mailto:kevin.belson@ukas.com)

General enquires  
Email: [info@ukas.com](mailto:info@ukas.com)

Application forms and publications may be downloaded from [www.ukas.com](http://www.ukas.com).

## Appendix 2: Designated standards per legislation

*Note: The column in table 1 entitled “other references equivalent to this module” covers non-aligned regulations where there is a corresponding module covering the same process as the standard module structure. The table 2 covering non-aligned regulations covers regulations and modules where there are specific attestation modules that do not directly align with the standard modules.*

**Where exceptions are identified, these are based on the expert opinion that the particular module is used in a slightly different way to the other similar regulations.**

**Table 1: Table of designated standards for regulations following the standard modular approach**

Module		Other references equivalent to this module	Designated standard	Exceptions
A1	Internal production control plus supervised product testing		ISO/IEC 17020	
A2	Internal production control plus supervised product checks at random intervals		ISO/IEC 17020	Measuring Instruments Regulations 2016: ISO/IEC 17065
B	Type examination	Supply of Machinery (Safety) Regulations 2008- Annex IX	ISO/IEC 17065	
C	Conformity to type based on internal production control		ISO/IEC 17020 (Simple Pressure Vessels) ISO/IEC 17065 (Hot-Water Boilers)	Module C does not require an Approved Body with the exception of: Simple Pressure Vessels (Safety) Regulations 2016, Boiler (Efficiency) Regulations 1993
C1	Conformity to type based on internal production control plus supervised product testing		ISO/IEC 17065	Recreational Craft Regulations 2017: ISO/IEC 17020
C2	Conformity to type based on internal production control plus supervised product checks at random intervals		ISO/IEC 17065	
D	Conformity to type based on quality assurance of the production process		ISO/IEC 17065	
D1	Quality assurance of the production process		ISO/IEC 17065	
E	Conformity to type based on product quality assurance		ISO/IEC 17065	
E1	Quality assurance of final product inspection and testing		ISO/IEC 17065	

Module		Other references equivalent to this module	Designated standard	Exceptions
F	Conformity to type based on product verification	Lifts Regulations 2016	ISO/IEC 17065	Lifts Regulations 2016 ISO/IEC 17020
F1	Conformity based on product verification		ISO/IEC 17065	
G	Conformity based on unit verification	Noise Emission in the Environment by Equipment for use Outdoors Regulations 2001. Annex VII	ISO/IEC 17065	
H	Conformity based on full quality assurance	Supply of Machinery (Safety) Regulations 2008. Annex X;  Noise Emission in the Environment by Equipment for use Outdoors Regulations 2001 Annex VIII	ISO/IEC 17021-1	
H1	Conformity based on full quality assurance plus design examination		ISO/IEC 17065	

**Table 2: Table of designated standards for regulations NOT following the standard modular approach**

Regulation	Conformity assessment procedure	Designated standard
Pressure Equipment (Safety) Regulations 2016	Approval of NDT personnel	ISO/IEC 17024
	Approval of permanent joining personnel	ISO/IEC 17024
	Approval of permanent joining procedures	ISO/IEC 17020
	European approval of materials	ISO/IEC 17065
	In House/User Inspectorate	ISO/IEC 17020 (Type B)
Construction Products Regulation 2011 (retained EU law EUR 305/2011) as amended by the Construction Products (Amendment etc.) (EU Exit) Regulations 2019 and the Construction Products (Amendment etc.) (EU Exit) Regulations 2020	System 1	ISO/IEC 17065
	System 1+	ISO/IEC 17065
	System 2+	ISO/IEC 17065
	System 3	ISO/IEC 17025

<b>Regulation</b>	<b>Conformity assessment procedure</b>	<b>Designated standard</b>
Noise Emission in the Environment by Equipment for use Outdoors Regulations 2001	Annex VI Internal control of production with assessment of technical documentation and periodical checking	ISO/IEC 17065
Transportable Pressure Equipment Regulations 2009	Type approval	ISO/IEC 17020
	Supervision of manufacture	ISO/IEC 17020
	Periodic inspections, intermediate inspections and exceptional checks	ISO/IEC 17020 (Type B)
	Initial inspections and tests	ISO/IEC 17020
	Reassessment of conformity	ISO/IEC 17020
Recreational Craft Regulations 2017	PCA – Post construction assessment	ISO/IEC 17065
The Railways (Interoperability) Regulations 2011 as amended by the Railways (Interoperability) (Amendment) (EU Exit) Regulations 2019 (2019/345). Regulation 2016/797 (EU) Interoperability of the Rail System	Several modules in accordance with. Decision 2010/713/EU in conjunction with the ERA Mandatory Technical Document	ISO/IEC 17065

### Appendix 3: Additional requirements to be taken into account

Conformity assessment standards for accreditation for appointment purposes including applicable additional requirements:

Module	Description	ISO/IEC 17065	ISO/IEC 17020	ISO/IEC 17021-1	ISO/IEC 17025
A	Internal production control	N/A	N/A	N/A	N/A
A1	Internal production control plus supervised product testing	1 + t	* 1 + t		1 + cd
A2	Internal production control plus supervised product checks at random intervals	1 + t	* 1 + t		1 + cd
B	Type examination	* 1 + t + pk	1 + t + cd		
C	Conformity to type based on internal production control	N/A	N/A	N/A	N/A
C1	Conformity to type based on internal production control plus supervised product testing	* 1 + t + pk	1 + t + cd		1 + cd
C2	Conformity to type based on internal production control plus supervised product checks at random intervals	* 1 + t + pk	1 + t + cd		1 + cd
D	Conformity to type based on quality assurance of the production process	* 1 + qa		1 + pk	
D1	Quality assurance of the production process	* 1 + qa		1 + pk	
E	Conformity to type based on product quality assurance	* 1 + qa		1 + pk	
E1	Quality assurance of final product	* 1 + qa		1 + pk	

Module	Description	ISO/IEC 17065	ISO/IEC 17020	ISO/IEC 17021-1	ISO/IEC 17025
	<b>inspection and testing</b>				
<b>F</b>	<b>Conformity with type based on product verification</b>	<b>* 1 + t + pk</b>	<b>1 + t + cd</b>		
<b>F1</b>	<b>Conformity based on product verification</b>	<b>* 1 + t + pk</b>	<b>1 + t + cd</b>		
<b>G</b>	<b>Conformity based on unit verification</b>	<b>* 1 + t + pk</b>	<b>1 + t + cd</b>		
<b>H</b>	<b>Conformity based on full quality assurance</b>	<b>1 + qa</b>		<b>* 1 + pk</b>	
<b>H1</b>	<b>Conformity based on full quality assurance plus design examination</b>	<b>* 1 + qa</b>	<b>1 + qa</b>	<b>1 + pk</b>	

### Key

- \* Indicates for the corresponding module the designated standard that should be used whenever possible (refer to Appendix 2 for details of specific legislation).
- 1 The possible Designated Standards used for accreditation where an alternative is justified.
- + Additional applicable requirements of the other pertaining Designated Standards used for assessing the Approved Body, as relevant to the situation.
- t Additional applicable requirements of ISO/IEC 17025 if testing is required. To this end fulfilment of the applicable requirements of clause 6 and 7 (except 7.9) in ISO/IEC 17025:2017 shall be demonstrated.
- cd **C**apability of and procedures for judging and **d**eciding based on results of tests, if the essential requirements are fulfilled and / or the Designated Standards have been applied when required. To this end, fulfillment of clauses 4.1.2, 4.1.3, 7.5 and 7.6 in ISO/IEC 17065:2012 shall be demonstrated.
- pk Ability – based on **p**roduct **k**nowledge - to make professional judgments related to product requirements where required. To this end fulfilment of clauses 6.1.2, 6.1.3 and 6.1.6 to 6.1.10 in ISO/IEC 17020:2012 shall be demonstrated.
- qa Ability to assess and approve manufacturer’s **q**uality systems where required. To this end, fulfillment of clauses 7.1.1, 7.1.2, 7.2.4, 7.2.5, 7.2.8 and 9.1 to 9.6 in ISO/IEC 17021-1:2015 shall be demonstrated.

## Notes

1. It is noted that the detailed requirements taken from the “+” standards will vary according to the level of coverage of that requirement within the baseline standard being used. In cases where the requirements of the baseline standard go beyond the requirements taken from the “+” standard the requirements of the baseline standard will always prevail.

2. For ISO/IEC 17020, only Type A inspection bodies are valid for an Approved Body activity, unless otherwise stated in the Legislation (for example user inspectorate under PER and periodic inspection under TPER). For ISO/IEC 17025, the requirements to be an independent third-party with absence of conflict of interest as laid down in the corresponding legislation must be fulfilled.

3. Specification of “t”, “cd”, “pk”, “qa” has been introduced to harmonize the understanding and clarify the content of the assessment in the particular context of accreditation for approved purposes, even if the concerned standard is already mentioned in the standard which is used in full.

The option retained has been to specify for all modules the technical competencies to be checked in addition to the standard used in full, despite the fact that ISO /IEC 17065 makes reference respectively to ISO/IEC 17020, ISO/IEC 17021-1 and ISO/IEC 17025. This option gives the advantage to clarify which clauses of the additional standard have to be assessed during the assessment of the Approved Body, in addition to the requirements mentioned in the accreditation standard, such as clause 6.2.1 of ISO/IEC 17065.

4. Any formal findings raised by UKAS shall be primarily referenced to the nearest relevant clause in the selected baseline (1) standard. Reference to the “+” standards can be made in the text.

5. It should be noted that in addition to the above table, ISO/IEC 17024 shall be used in certain specific cases (for example Pressure Equipment Recognised Third Party Organisations).

6. In all cases, it is appropriate for Approved Bodies to comply with the relevant IAF MD documents while assessing quality management system-based modules e.g. Modules D, E, H and their derivatives.